

Caterpillar Inc.

1600 W. Kingsbury Sequin, TX 78155

May 18, 2018

Subject: Unauthorized Engine Modifications on Legacy On-Highway Truck Engines

To: Cat Dealer Service Managers, Power Systems Managers, TCs, TEPS Managers Cc: On-Highway Truck Product Support Team, GAMB On-Highway Truck Team

As announced on April 23, 2018 Truck Engine News M0093025, Caterpillar Limited Warranty will not participate in failures caused by unauthorized engine modifications. Furthermore, authorized CAT dealers are responsible for completing all root cause failure analysis and determine if warranty should be applied. In support of such communication, Special Instruction REHS3809, "Guideline for Unauthorized Modifications to On-Highway Engines", was previously published to assist dealers in identifying and documenting known or suspected unauthorized modifications to new, used, or remanufactured engines. Failures resulting from unauthorized modifications are not covered under Caterpillar warranty. Examples include: installation of an aftermarket or auxiliary device, tampered or removal of an emissions control device, engine parameters set beyond factory specifications.

To create further awareness and deepen the understanding of the special instruction we will be hosting two training webinars. Details will be sent to your teams with call-in information.

June 12th: 1-2:00 PM CST Dealer Webinar- TCs and Service Managers June 14th: 1-2:30 PM CST TEPS Managers Webinar, 2nd quarter update

Both webinars will cover reasons to suspect modifications, Dealer Checklist for Unauthorized Modifications, Dealer Actions, and examples of past repairs where unauthorized modifications have occurred. This information will also need to be cascaded to your respective TEPS networks to increase awareness and ensure understanding. Should you have questions in advance of the webinars, please contact your On-Highway Truck service representative.

Regards,

Matt O'Sullivan

Matt Bullivan

General Manager, On-Highway Truck Group